



GROUP OF EIGHT
A U S T R A L I A

DAAD

Deutscher Akademischer Austausch Dienst
German Academic Exchange Service

GROUP OF EIGHT AUSTRALIA–GERMANY JOINT RESEARCH COOPERATION SCHEME

*Application pack for funding
commencing in 2012*

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ABOUT THE GROUP OF EIGHT AUSTRALIA–GERMANY JOINT RESEARCH COOPERATION SCHEME

The scheme is a joint initiative of the Group of Eight (Go8) universities and the German Academic Exchange Service (DAAD). The DAAD is Germany's national agency for the support of international academic cooperation. It is one of the world's largest and most respected organisations in its field.

The scheme supports exchanges for Go8 researchers to spend time at partner institutions in Germany and for collaborating German researchers to spend time at Go8 universities.

The scheme aims to:

- foster research collaboration of the highest quality between Australian researchers from Go8 universities and German researchers; and
- result in research outcomes and the exchange of skills and knowledge of mutual benefit to Australia and Germany.

Projects will be funded within a framework of well-defined research proposals necessitating research collaboration between Australian and German researchers. Particular preference will be given to projects that facilitate exchanges between early career researchers.

HOW TO APPLY

Australian applicants must use the Australian application pack and send applications to the designated contact officer in the research office at his/her university. The contact details for each contact officer are available on page 9 of this application pack and are updated regularly on the Go8 website.

Each application must:

- specify a person responsible for making the application, for receiving the grant and for receiving all future correspondence in relation to the application and the scheme. Typically, this person will be the 'project leader' of the research group in question. If no research group exists, then the form must be signed by the head of the school or other person with authority to sign grant applications; and
- have attached the curriculum vitae (CV) of the proposed exchange participants(s) (maximum five pages per participant). Each proposed participant's CV must include the date that his/her qualification

was awarded. If the award occurred more than five years prior to the date of the application, then the CV must detail all periods of research experience since the date of the award.

One signed application must then be submitted as a hard copy to the designated contact officer, along with an electronic copy by email.

The German collaborating partner must submit a corresponding application, with the same project title, to the DAAD in Bonn by the closing date.

IMPORTANT DATES

Applications for projects commencing in 2012 open on 30 March 2011 and close on 30 June 2011.

Incomplete or late applications will not be considered.

Successful applicants are required to submit a project report form (available from the Go8 website) by 28 February 2013.

APPLICATION CHECKLIST

STEP 1

Read the application pack including the Terms and Conditions on page 3 and 4 to determine if you are eligible to apply.

STEP 2

Complete pages 5 to 8 of the application pack.

STEP 3

Attach CV(s) (maximum five pages) per participant.

STEP 4

Submit application to the designated contact officer at your institution as outlined on page 9 of the application pack.

STEP 5

Ensure your collaborating partner submits a high quality application to the DAAD with the same project title.

Terms and Conditions

1. GENERAL

The Group of Eight Australia–Germany Joint Research Cooperation Scheme (the scheme) is a joint initiative of the Group of Eight (Go8)¹ universities and the German Academic Exchange Service (DAAD).²

It aims to foster research collaboration of the highest quality between Australian researchers from Go8 universities and German researchers from German universities, research institutes and Fachhochschulen.³

The scheme supports exchanges for Australian researchers to spend time at partner institutions in Germany and for collaborating German researchers to spend time at Go8 universities.

The scheme aims to result in research outcomes and the exchange of skills and knowledge of mutual benefit to Australia and Germany.

2. ASSESSMENT CRITERIA

- The quality of the proposed research project (in particular the clarity of the project's goals and methods).
- The feasibility of the project (in particular its financial support and suitable planning of the reciprocal visits to Australia and Germany).
- The experience and track record of both research groups (relative to the project in question).
- The ways in which the two research groups complement each other.
- The level of involvement of early career researchers (projects that include ECRs will be given preference).
- The potential of the project to result in outcomes of mutual benefit to Australia and Germany.
- The added value (to the discipline, institution and wider society) from the cooperation.

3. ELIGIBILITY

3.1 Australian applicants must be:

- employed as academic staff members in teaching and research or research only roles at a Go8 university; **or**
- PhD students enrolled at a Go8 university who are part of a research project application submitted by an eligible Go8 staff member.

3.2 The scheme is open to Go8 researchers/research groups in all academic fields involved in a collaborative project with German research partners.

Preference will be given to proposals which provide opportunities for exchanges between early career researchers.⁴

Researchers from the Humanities and Social Sciences are encouraged to apply.

4. FUNDING AVAILABLE

4.1 The Go8 and the DAAD will each provide around 160,000 euros (AUD250,000) in 2012 and 2013 to support projects successful in the 2011 selection round.

4.2 Go8 funding will cover the costs of:

- return economy airfares from Australia to Germany;
- a per diem of either AUD200 per day or a maximum of AUD3,000 per month per person for each day spent at the host German institution, or at other locations in Germany necessary for the research in question.

4.3 As an indication of the likely size of grants available, in the first four rounds, projects were awarded around AUD20,000 for travel and living costs to be incurred over two years (or a maximum of AUD10,000 per project per year).

4.4 The maximum period of funding for a project under this scheme is two years. A simple extension of funding beyond two years is not permitted. Projects that are initially awarded one year of funding cannot request an extension. Successful researchers in this scheme can only reapply to support a NEW project or a NEW phase within a project based on an already established collaboration.

5. ACTIVITIES NOT FUNDED UNDER THIS SCHEME INCLUDE:

- basic research costs (staff and equipment). These must be met by the cooperating host institutions in Australia and Germany;
- activities already funded, or those which could reasonably be expected to be supported by other funding sources;

¹ www.go8.edu.au The Go8 is a coalition of leading Australian universities: The Australian National University, The University of Adelaide, The University of Melbourne, Monash University, The University of New South Wales, The University of Queensland, The University of Sydney and The University of Western Australia.

² www.daad.de The German Academic Exchange Service's mission is to advance Germany's international engagement in the fields of education, science, culture and research.

³ Fachhochschulen are German higher education institutions that offer applied and practical courses of study.

⁴ For the purpose of this scheme 'early career researcher' means: a PhD student enrolled at a Go8 university, a researcher who has had a Research Masters or PhD awarded within five years from the date of the application, or a researcher who received his or her award more than five years before the date of the application but who due to career interruptions (for example non-research employment, misadventure or carer responsibilities) has had less than the equivalent of five cumulative years of research experience.

- activities providing purely study or training opportunities for participants;
- attendance at seminar/conference events;
- insurance in connection with the funded projects including travel insurance; and
- any private activities undertaken by participants in conjunction with the exchange.

6. CONDITIONS OF FUNDING

- 6.1 Applicants will clearly outline details of the funding sought on the scheme application form.
- 6.2 Approved grants will be paid separately to the collaborators in Australia and Germany through their respective institutions.
- 6.3 Grant recipients must comply with all financial reporting requirements of their own institutions.
- 6.4 It is the responsibility of the grant recipients to ascertain whether it is necessary to obtain ethics committee approval for the proposed research activity in both Australia and Germany and to obtain such approval if it is required.
- 6.5 Grant recipients will be bound and protected by the policies of their institutions in relation to intellectual property, codes of conduct etc. However, whilst working at a partner institution, each exchange participant must respect and abide by its policies.
- 6.6 It is the responsibility of the grant recipients to ensure that any existing and resulting intellectual property is appropriately protected. This includes ensuring that the intellectual property interests of their institutions are adequately protected.
- 6.7 The scheme does not provide insurance in connection with funded projects. Australian grant recipients must ensure that their travel and research activities are covered by their institutions' insurance policies.
- 6.8 Recipients of grants in 2012 will submit a project report on the required form to the contact officer in his/her institution's research office by 28 February 2013. The report will include details of expenditure incurred, the activities undertaken and benefits derived. For projects funded for more than one year a progress report must be submitted by 28 February 2013, with a final report to be provided within two months of the completion of the project.
- 6.9 Each grant recipient will agree to complete any surveys or request for information deemed necessary by the Go8 or sponsoring institutions for the purposes of evaluating the scheme.

7. SELECTION PROCESS

- 7.1 Each Go8 research office will subject the applications it receives to its own quality assurance process and rank applications according to the assessment criteria listed above. These rankings will then be provided to the Go8 office in Canberra which will report these to the DAAD.
- 7.2 The Go8 and the DAAD use a similar weighting scale for assessing each application.
 - 1/3 for the quality and feasibility of the project
 - 1/3 for qualifications of both research groups and their complementarities
 - 1/3 for inclusion of early career researchers

A small number of bonus points can be awarded for:

- knowledge transfer;
 - lasting impact or wider effect of the co-operation.
- 7.3 The DAAD will then advise the German selection panel of the outcomes of the reviews and ranking of applications conducted by each Go8 university. These rankings will inform the German selection panel's consideration of the corresponding applications submitted by German collaborators. A Go8 representative will be present to provide advice to the DAAD panel.
 - 7.4 Once the DAAD has determined which applications it wishes to fund, it will notify the Go8 office, which will liaise with each Go8 research office to obtain endorsement of the DAAD's decisions for funding.
 - 7.5 Successful and unsuccessful applicants will be notified of the outcomes of the selection process in writing.

8. DISPUTE RESOLUTION

For Australian applications, in the event that disputes arise about any aspect of the scheme's administration or the selection process, the decision of the Go8 office will be final. If necessary, the Go8 office will refer disputes for consideration to the Go8 Deputy Vice-Chancellors (Research) Committee.

9. RESOLUTION OF FURTHER PROCEDURAL AND PROJECT RELATED ISSUES

The Go8 and the DAAD recognise that issues not addressed by these Terms and Conditions may arise. In this event, the issues will be resolved through written communication between the Go8 and the DAAD.

Application form

PROJECT

Title of joint research project		
Name and code of field(s) of research by ABS Fields of Research code	NAME OF FIELD	ABS CODE
Source(s) of funding supporting project (ARC, NHMRC, institution, EU, industry etc.)		

TIMEFRAME

Project start date		Project completion date	
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AUSTRALIAN INSTITUTION

Institution			
Department			
Project leader			
Current position			
Address			
Telephone		Fax	
Email			

GERMAN INSTITUTION (INSTITUTION OF HIGHER EDUCATION OR NON-UNIVERSITY RESEARCH INSTITUTION)

Institution			
Department			
Project leader			
Current position			
Qualifications of German team leader (briefly outline the experience and qualifications of the German team leader)			
Address			
Telephone		Fax	
Email			
Level of funding sought from the DAAD by German collaborator			

FUNDING REQUESTED (BUDGET SUMMARY)

Year: 2012	
Airfares (economy class)	AUD
Per diem expenses (AUD200 per day per person; maximum AUD3,000 per month)	AUD
Year: 2013	
Airfares (economy class)	AUD
Per diem expenses (AUD200 per day per person; maximum AUD3,000 per month)	AUD
Total Please note: maximum funding per project per year will be approximately AUD10,000	AUD

VISIT SCHEDULE OF AUSTRALIAN EXCHANGE PARTICIPANT(S)

Date	Names of project participant	Position	Purpose of visit	Duration of stay in Germany
Example				
May 2012	Smith, Alan	Research Fellow	Laboratory experiments	20 days

VISIT SCHEDULE OF CORRESPONDING GERMAN EXCHANGE PARTICIPANT(S)

Date	Names of project participants	Position	Purpose of visit	Duration of stay in Australia
Example				
July 2012	Gautier, Kerstin	Research Fellow	Laboratory experiments	30 days

DECLARATION (OF AUSTRALIAN PROJECT LEADER, HEAD OF SCHOOL ETC.)

I declare that all information provided in this application is true and accurate. If awarded a grant, I acknowledge that I will be responsible for spending it in accordance with the stated aims of the project, will comply with all conditions of funding set out in the Terms and Conditions of the scheme guidelines and will ensure that the Australian and German project participants receive appropriate research support services for the duration of the project. I confirm that the German collaborating institution has agreed to provide the infrastructure, equipment and all other services necessary to support the proposed exchange.

Name			
Signature		Date	

Selection criteria

Project title

Short description of project and its objectives

Innovative nature
of research

Relevant
preliminary work

Complementarity of
the project partners

Integration/
participation of early
career researchers

Empty response area for integration/participation of early career researchers.

Benefits of the
cooperation for
Australia and Germany

Empty response area for benefits of the cooperation for Australia and Germany.

Potential for links with
industry or future
research outcomes

Empty response area for potential for links with industry or future research outcomes.

Type of cooperation
(Please tick)

- Beginning a new cooperation
- Developing an existing cooperation

Previous funding
under this program
with the same partner
(Please tick)

- No
- Yes, in the year

Financial backup
of the project
(Please tick)

- Own institutional funds
- Third party funding, name of donor/institution

Contact details

The Australian National University

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The University of Adelaide

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The University of New South Wales

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The University of Western Australia

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FURTHER INFORMATION FOR AUSTRALIAN APPLICANTS

All applicant enquiries for further information about the scheme should be directed to the contact officer in the applicant's research office. All relevant information about the scheme is available through the Go8 website at: www.go8.edu.au

The contact officer in the Go8 Canberra office is:
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